

02/28/02
jc931 U.S. PTO

03-0410097705-022802

Patent Application Transmittal
(only for new nonprovisional applications under 37 C.F.R. 1.53(b))

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10/087705
02/28/02

EXPRESS MAIL	
FIRM: ANDERSON KILL & OLICK, P.C. ADDRESS: 1251 Avenue of the Americas New York, New York 10020 TEL: (212) 278-1000 FAX: (212) 278-1733	Mailing label number EL 763973792 US Date of Deposit: February 28, 2002 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Box Patent Application, 2900 Crystal Drive, Arlington, Virginia 22202-3513. <u>Audrey DeSouza</u> (Typed or printed name of person mailing paper or fee) <u><i>Audrey DeSouza</i></u> (Signature of person mailing paper or fee) [37 CFR § 1.8 Certificate of Mailing CANNOT be used.]

Date: February 28, 2002
Attorney Docket No.: DE-1347

COMMISSIONER FOR PATENTS
Box Patent Application
Washington, D.C. 20231

Sir:

With reference to the filing in the United States Patent and Trademark Office of an application for patent in the name(s) of:

Tae-Hyun KIM, Myoung-Gyu PARK, Eun-Ju KIM, and Kee-Sun YOON

entitled: **KOJI PRODUCED FROM SOYBEAN HYPOCOTYL, PREPARATION METHOD THEREOF, AND SOY HYPOCOTYL PRODUCTS PREPARED FROM SAID KOJI**

☒ New Application

☐ Continuing Application

☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP)
of Patent Application, filed

[Note: If priority under 35 U.S.C. 120 involves a series of respectively co-pending applications, then in this amendment identify each and its relationship to its immediate predecessor.]

☐ The prior application is assigned of record to

☒ This is an application of a small entity under 37 CFR 1.9(f) and the amounts shown in parentheses below have been employed in calculating the fee:

The following are enclosed:

- ☒ Specification including Abstract (22 pages)
 _____ Sheet(s) of Drawings (Figs)
☒ 29 Claim(s) (including 1 independent claim)
 _____ This application contains a multiple dependent claim
 _____ Information Disclosure Statement, PTO-1449 and _____ references;

- ☒ This filing fee has been calculated on the basis of the claims as amended by any enclosed preliminary amendment as follows:

Basic Fee \$740.00 (\$370.00).....	\$370.00
Number of Claims in excess of 20 at \$18.00 (\$ 9.00) each: 9.....	81.00
Number of Independent Claims in excess of 3 at \$84.00 (\$42.00) each:	00.00
Multiple Dependent Claim Fee at \$280.00 (\$140.00).....	00.00
<input checked="" type="checkbox"/> Assignment Recording Fee \$40.00.....	40.00
Total Filing Fee.....	<u>\$491.00</u>

- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication and credit any overpayment to Deposit Account No. 01-1944. A duplicate copy of this sheet is enclosed

(X) Any additional filing fees required under 37 CFR 1.16
 (X) Any patent application processing fees under 37 CFR 1.17.

- ☒ Oath or Declaration and Power of Attorney
☒ New ☒ signed _____ unsigned
 _____ Copy from a prior application (37 C.F.R. 1.63(d))

Deletion of Inventors

_____ Signed Statement attached deleting inventor(s) named in the prior application (37 C.F.R. 1.63(d)(2) and 1.33(b))

_____ Power of attorney and/or correspondence address was changed during prosecution of the prior application. The new power of attorney is to _____. The new correspondence address is indicated above.

_____ Incorporation by Reference (for continuation or divisional application)
 The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☒ A Preliminary Amendment is enclosed.
 (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

_____ Cancel in this application original claims _____ of the prior application before calculating the filing fee.
 (At least one original independent claim must be retained for filing purposes.)

_____ New formal drawings are enclosed.

— A receipt in the Case of an Original Deposit under the Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Pursuit of Patent Procedure is enclosed.

X Certified copy of each foreign priority application on which the claim for priority under 35 U.S.C. 119 is based is included herewith. A list of said foreign priority application(s) is (are) provided below. Acknowledgement thereof is requested.

<u>Application No.</u>	<u>Filed</u>	<u>In</u>
2001-0010233	February 28, 2001	Korea
2001-0070978	November 15, 2001	Korea

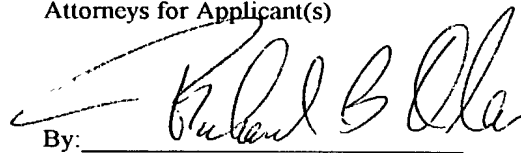
X The Commissioner is hereby authorized to charge payment of the following fees during the pendency of the application or credit any overpayment to Deposit Account No. 01-1944. A duplicate copy of this sheet is enclosed

(X) Any patent application processing fees under 37 CFR 1.17

(X) Any filing fees required under 37 CFR 1.16 for the presentation of extra claims.

Respectfully submitted,

Attorneys for Applicant(s)



By:

Richard B. Klar

Registration No. 31,385